

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Shinji TOMITA et al.**

Group Art Unit: **3676**

Application Number: **10/563,245**

Examiner: **Michael C. Zarroli**

Filed: **January 3, 2006**

Confirmation Number: **1265**

For: **LOCK ASSEMBLY**

Attorney Docket Number: **053542**

Customer Number: **38834**

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

July 9, 2007

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in the **Number of drawings, Assignee's Information and Fourth and Fifth Inventors' Information**. The **Number of drawings** should read --36--; **Assignee's Information** should read --**LINTEC 21 CO., LTD., Yokosuka-shi, JAPAN**; **Fourth Inventors' Information** should read --**JAPAN 2003-271575 08/25/2003**--; and **Fifth Inventors' Information** should read --**JAPAN 2003-394715 10/22/2003**. A **Declaration, Transmittal** and an **Assignment** are enclosed which indicates the correct information. We are also enclosing a copy of the filing receipt with the corrections highlighted.

Request for Corrected Official Filing Receipt
U.S. Application: 10/563, 245
Docket No.: 053542

If any fees are required in connection with this paper, please charge Deposit Account No.
50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Stephen G. Adrian

Attorney for Applicants
Registration No. 32,878
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

SGA/bc

Enclosures: Declaration
Transmittal
Official Filing Receipt

DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LOCK ASSEMBLY

the specification of which is attached hereto unless the following is checked

☒ was filed on **January 3, 2006** as United States Application Number **10/563,245** and was filed on ____ as PCT International Application Number ____ and was amended on ____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A)

Priority Claimed

<u>2003-288241</u> (Number)	<u>JP</u> (Country)	<u>01/07/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-270437</u> (Number)	<u>JP</u> (Country)	<u>01/07/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-345010</u> (Number)	<u>JP</u> (Country)	<u>28/08/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-271575</u> (Number)	<u>JP</u> (Country)	<u>28/08/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-294715</u> (Number)	<u>JP</u> (Country)	<u>22/10/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-272684</u> (Number)	<u>JP</u> (Country)	<u>22/10/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Status

(List prior U.S. Applications)

☐ Patented ☐ Pending ☐ Abandoned

(Application Serial No.)

(Filing Date)

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: January 3, 2006
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP2004/009597	INTERNATIONAL FILING DATE: June 30, 2004	PRIORITY DATE CLAIMED: July 1, 2003
TITLE OF INVENTION: LOCK ASSEMBLY		
APPLICANT(S) FOR DO/EO/US: Shinji TOMITA, Noboru TUCHIYA and Norihiro SUZUKI		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO/SB/08 and 4 documents.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: LINTEC 21 CO., LTD., Yokosuka-shi, Japan Please publish the assignee data with the application.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: 36 sheets of drawings.</p>		

U.S. ASSIGNMENT(Insert ASSIGNEE's
Name(s) Address(es))

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by
LINTEC21 CO., LTD. ← Please insert the Period
1-11-1, Takatori, Yokosuka-shi, Kanagawa 237-0067 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned
 ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title
 and interest to the invention entitled

(Title of Invention)

LOCK ASSEMBLY

(*If the assignment is
 being filed after the
 filing of the
 application, this
 section must be
 completed)

for which application for Letters Patent of the United States was executed on even date herewith
 unless otherwise indicated below:

* filed on January 3, 2006 Serial No. 10/563,245

(Westerman, Hattori, Daniels & Adrian, LLP is hereby authorized to insert the series code, serial
 number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefore on said application or any
 continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for
 which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any
 continuation, divisional, reissue or reexamination applications thereof and also to execute separate
 assignments in connection with such applications as the ASSIGNEE may deem necessary or
 expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference,
 litigation, or other legal proceeding which may be declared concerning this application or any
 continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued
 thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing
 evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Shinji Tomita</u> (Signature)	<u>Shinji TOMITA</u> (Type Name)	<u>2006/3/7</u> (Date)
<u>Noboru Tuchiya</u> (Signature)	<u>Noboru TUCHIYA</u> (Type Name)	<u>2006/3/8</u> (Date)
<u>Norihiro Suzuki</u> (Signature)	<u>Norihiro SUZUKI</u> (Type Name)	<u>2006/3/9</u> (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)

NO LEGALIZATION REQUIRED



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/563,245	06/02/2006	3676	895	053542	24	25	2

CONFIRMATION NO. 1265

38834

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
1250 CONNECTICUT AVENUE, NW
SUITE 700
WASHINGTON, DC 20036

FILING RECEIPT



0000000022248624

Date Mailed: 01/31/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shinji Tomita, Kanagawa, JAPAN;
Noboru Tuchiya, Kanagawa, JAPAN;
Norihiro Suzuki, Saitama, JAPAN;

Assignment For Published Patent Application

LINTEC 21 CO., LTD, Yokosuka-shi, JAPAN

Lin-tec Co., Ltd.

Power of Attorney: The patent practitioners associated with Customer Number 38834.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09597 06/30/2004

Foreign Applications

JAPAN 2003-288241 07/01/2003

JAPAN 2003-270437 07/01/2003

JAPAN 2003-345010 08/28/2003

JAPAN 2003-271575 08/28/2003

JAPAN 2003-384715 10/22/2003

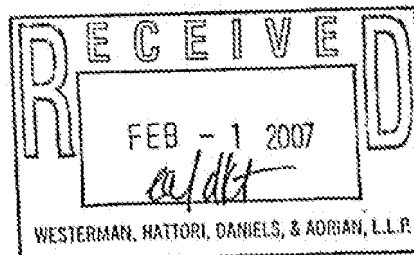
JAPAN 2003-272584 10/22/2003

4th Foreign Appl.;

2003 - 271575

5th Foreign Appl.;

2003 - 384715



If Required, Foreign Filing License Granted: 01/30/2007

The country code and number of your priority application, to be used for filing abroad under the Paris